

State Bar of New Mexico
Client Protection Fund

2018
Annual Report



STATE BAR
of **NEW MEXICO**
CLIENT PROTECTION FUND

Presented to Supreme Court of New Mexico and
the State Bar of New Mexico Board of Bar Commissioners



STATE BAR
of **NEW MEXICO**
CLIENT PROTECTION FUND

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2018 COMMISSION

Andrew J. Cloutier
Chair

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Matthew C. Sanchez
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COMMISSIONERS

Jeffrey L. Baker
Frank N. Chavez
Ernestina R. Cruz
Jason A. Marks
James T. Reist
Travis R. Steele

EX OFFICIO MEMBERS

Richard B. Spinello
Stormy Ralstin

Commission Liaison

Anne L. Taylor

December 31, 2018

Dear Colleagues:

I am pleased to report another successful year of operation of your Client Protection Fund. The purpose of the Fund is to promote public confidence in the administration of justice and the integrity of the legal profession by reimbursing losses caused by the dishonest conduct of lawyers in an attorney-client or fiduciary relationship with a claimant. The Fund is administered by nine-member Commission, each of whom is an attorney. Each claim received by Commission is assigned to a Commissioner for investigation and evaluation, resulting a recommendation which is voted on by the full Commission.

In 2018, the Fund and Commission finished its thirteenth full year of operation, paying just over \$43,053 on 11 claims against 5 lawyers. The highest single year of payments from the Fund was 2016, with \$157,917 in payments. Additionally, the Commission denied 20 claims against 15 separate attorneys.

Since its inception, the rules for the Fund have included a cap on the maximum payment per claim in order to prevent a large loss from wiping out or impairing the Fund's ability to recompense other victims of dishonest attorney conduct. Since 2016, the per-claim cap has been \$50,000, in recognition of the Fund's health and our growing comfort that claims above \$20,000 are relatively rare in New Mexico. In 2018, the Fund remained strong and slightly increased its reserves.

I want to thank all my colleagues on the Commission for their service. I especially recognize Frank Chavez, Jason Marks, and Travis Steele who each completed six years of service on the Commission in 2018 and termed out of further service. I also thank Ernestina Cruz for her year of service to the Commission. Acknowledgment and appreciation is due to the both the staff of the Disciplinary Board, William Sleese, Anne Taylor, and Tamma Williams, and our ex-officio members from the State Bar, Richard Spinello and Stormy Ralstin. Finally, we extend gratitude to David Powell and the Accounting Department staff at the State Bar whose assist the Commission in overseeing the monies we administer.

Sincerely,

Andrew J. (Drew) Cloutier, Esq.
2018-2019 Chair



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Introduction



▼ History and Purpose

The purpose of the Client Protection Fund (CPF) is to promote public confidence in the administration of justice and the integrity of the legal profession by reimbursing losses caused by the dishonest conduct of lawyers admitted and licensed to practice law in the courts of this jurisdiction. The Client Protection Fund Commission was established by order of the New Mexico Supreme Court effective Dec. 13, 2005, as a permanent commission of the State Bar of New Mexico Board of Bar Commissioners. The Supreme Court adopted a modified version of the American Bar Association's model rules for client protection funds and codified them at Rules 17A-001 et seq. of the New Mexico

Rules Annotated. The Commission oversees the CPF and is charged with receiving, holding, managing, and disbursing money from the Fund according to the rules. The State Bar is responsible for administering the Commission, developing and approving the budget, and managing operations and staffing. Since January 1, 2014, the New Mexico Disciplinary Board has assisted in the technical administration of the Commission's tasks, including the processing of claims under the Commission's direction.



▼ Revenues

The initial resources for the Fund were provided in 2005 by a Supreme Court order transferring funds from accumulated fines against lawyers who failed to comply with the Court's Minimum Continuing Legal Education requirements. In 2008 the Supreme Court ordered an additional transfer of funds from MCLE to the Fund. In 2009 the Supreme Court ordered a \$15 annual assessment of every active New Mexico attorney pursuant to Rule 17A-003 (B) NMRA. In 2010, 2012, 2016, and 2018 additional monies were also transferred from MCLE to the Fund by Supreme Court Orders. The State Bar provides in-kind support to the Fund and the Commission through staff support, office and meeting

space, and fiscal administration. (See *2018 Financial Information, page 12*). From time to time, the Fund receives monies from court-ordered sanctions directed to the fund at the discretion of the judge.

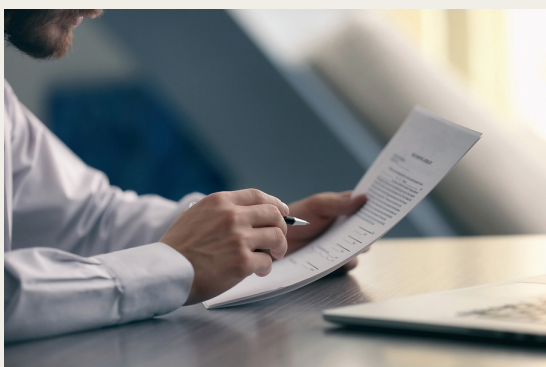


▼ Eligible Claims

To qualify for a reimbursement from the Fund, a client must have incurred a financial loss caused by the dishonest conduct of a New Mexico-licensed lawyer who was counseling, advising, or representing the client or serving in another fiduciary capacity such as a trustee. The claim must be filed no later than five years after the client knew or should have known of the lawyer's dishonest conduct. Dishonest conduct is specifically defined under the CPF rules as wrongful acts such as theft or embezzlement of money or the wrongful taking or conversion of money, property, or other things of value; e.g., failing to refund unearned fees or borrowing money from a client without the intention to repay or disregarding the lawyer's inability or reasonably anticipated inability to

repay. A typical CPF claim involves a lawyer who collected a retainer from a client, performed some legal work, and then became unable or unwilling to finish the work or refund the unearned amount.

The rules also include a hardship exception which allows the Commission, in cases of extreme hardship or special and unusual circumstances, to recognize a claim that was filed late or would not otherwise be reimbursable. This exception has only been used for two of the 247 claims approved in the Commission's thirteen-year history. The maximum reimbursable amount was increased in 2012 from \$10,000 to \$20,000 per individual claim, and, effective January 1, 2016 from \$20,000 to \$50,000.



▼ Processing a Claim

The claimant must complete a prescribed claim form and have it notarized. The claimant must provide a copy of any written agreement pertaining to the claim and copies of any checks, money orders, receipts, or other proof of payment. The claimant is responsible for completing the form and providing evidence of a reimbursable loss up to the maximum amount payable per claim.

The CPF gives notice of a claim to the lawyer against whom it is filed (or the lawyer's representative) and allows 20 days for a response. The Disciplinary Board is also notified of the claim.

After the lawyer's response and other initial facts and documents are gathered, the claim is assigned to one of the CPF commissioners for investigation. The commissioner investigates and presents a recommendation to the full Commission. If appropriate under the circumstances, the recommendation includes the investigating commissioner's estimate of any amount that should be allowed as a credit against the claim for the value of work the lawyer performed or costs the lawyer properly paid with client funds. The approval or denial of a claim requires the affirmative votes of at least five commissioners.

The claimant and the lawyer are notified of the Commission's decision. Either party may request reconsideration in writing within 30 days of the denial or determination of the amount of a claim. If no request for reconsideration is received, the check for any approved reimbursement is sent after the notice period expires. If a timely request is received, the check for any approved reimbursement is sent after the Commission has reconsidered its decision. Rule 17A-013 NMRA provides that in either case the Commission's decision is final and there is no further right of appeal. Reimbursement is discretionary and no person has a legal right to reimbursement from the Fund. As part of the claim form, the claimant agrees to assign his/her claims against the lawyer to the fund in the event that the CPF makes a payment, and the CPF may pursue reimbursement and recovery from the lawyer or the lawyer's successor (e.g., an estate).



2018 Annual Report Highlights and Commission Activities


The Client Protection Fund Commission finished its thirteenth full year of operation in 2018 paying just over \$43,053 in 11 claims against 5 lawyers. To date, the Commission has paid just over \$920,000 in cumulative reimbursements for clients' financial losses involving 63 lawyers. Year-by-year and cumulative statistics appear later in this report.

The Commission met four times in 2018. Commissioner James Reist attended the 34th ABA National Forum on Client Protection in early June, 2018 in Louisville, Kentucky.

The Commission reminds everyone that Rule 17A-018(A) protects the confidentiality of information on claims, claimants, and respondent lawyers with exceptions for approved claims and other limited purposes as set forth below:

A. Publicizing awarded claims. Claims, proceedings and reports involving claims for reimbursement are confidential until the commission authorizes reimbursement to the claimant, except as provided below, unless provided otherwise by law. After payment of the reimbursement, the commission shall publicize the nature of the claim, the amount of reimbursement, and the name of the lawyer. The name and the address of the claimant shall not be publicized by the commission unless specific permission has been granted by the claimant. The commission may provide a waiver to the claimant which authorizes disclosure.

B. Exceptions. This rule shall not be construed to deny access to relevant information by the disciplinary board, other professional discipline agencies or other law enforcement authorities as the commission shall authorize, or the release of statistical information that does not disclose the identity of the lawyer or the parties, or the use of such information as is necessary to pursue the fund's subrogation rights under Rule 17A-015 NMRA.



Visit the State Bar website at
www.nmbar.org/cpf
for further information on
the Client Protection Fund.

2018 Client Protection Fund Commission

From its inception in 2006 through 2012 the Commission consisted of seven lawyers—four appointed by the Supreme Court, two appointed by the Board of Bar Commissioners, and formerly the vice president of the BBC, now the Secretary-Treasurer of the BBC. In 2013, the number of Commissioners was increased to nine. The 2018 commissioners and officers are listed here. All members except the BBC Secretary-Treasurer serve staggered three-year terms. The BBC Secretary-Treasurer serves for one year before advancing to become the State Bar president-elect and is succeeded on the Commission by the incoming Secretary-Treasurer. The six original commissioners were appointed for part of 2006 after the Commission was created and for staggered terms of one, two, and three years that began in 2007. Each of the six original commissioners were appointed to two full three-year terms. The CPF officers, elected annually by the Commission, consist of a chair, vice-chair or treasurer, and secretary. Program assistance and administrative support is provided by the State Bar Office of General Counsel and the New Mexico Disciplinary Board.



Andrew J. (Drew) Cloutier, Chair

Supreme Court Appointee

Drew Cloutier was appointed to the Commission by the Supreme Court of New Mexico effective Jan. 1, 2015. Drew previously served in the Commission *ex officio* as an officer of the State Bar of New Mexico in 2010 and 2011. He is partner in the Roswell office of Hinkle Shanor LLP. He practices primarily in the areas of oil and gas law and commercial litigation. Cloutier has litigated and tried a number of oil and gas issues in state and federal courts. He also assists oil and gas clients with a wide variety of issues with state and federal agencies. Cloutier served on the Board of Bar Commissioners of the State Bar of New Mexico from 2006 through 2014 and as its President in 2013. He has volunteered for the Conquistador Council of the Boy Scouts of America for many years and is serving as President of the Council's Executive Board. Cloutier is a Trustee of the Rocky Mountain Mineral Law Foundation. He was named "Member of the Year" by the Independent Petroleum Association of New Mexico.



Matthew Sanchez, Treasurer

Supreme Court Appointee

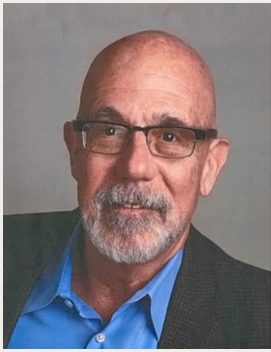
Matthew C. Sanchez, an Albuquerque native, currently serves as General Counsel to the Jaynes Companies, a collection of construction companies headquartered in Albuquerque, NM. Before joining Jaynes, Mr. Sanchez was a senior associate attorney with the Sheehan & Sheehan law firm where he represented not only Jaynes, but other clients such as the New Mexico Department of Transportation, the Public Schools Facilities Authority, contractors, suppliers, counties, municipalities, and various school districts. Mr. Sanchez's private practice centers on construction, employment, and commercial law. Mr. Sanchez obtained both his Masters and law degree at the University of New Mexico and completed his undergraduate studies at Santa Clara University in California. In early 2016, he was recognized as a Fellow of the American Bar Association Forum on Construction Law.



Leigh Anne Chavez, Secretary

Supreme Court Appointee

Leigh Anne Chavez grew up in Las Vegas, Nevada, and received her B.A. in English from UNLV. She received her J.D. from UCLA, and taught paralegals at CNM for 24 years. She also has a A.A.S. in Accounting and is working on her Master's Degree in Accounting at Anderson School of Management at UNM. Leigh Anne is the Recycled Metals Prosecutor for the New Mexico Regulation and Licensing Department.



Jeff Baker

State Bar of New Mexico Appointee

Jeff Baker has been a trial lawyer for more than 40 years. He graduated from Harvard College and from SUNY at Buffalo Law School. He was a felony trial lawyer in the Bernalillo County District Attorney's office and the New Mexico Public Defender's office. He served as chief of the civil litigation division for the Legal Department of the City of Albuquerque before going into private practice. He is recognized by Martindale Hubbell with its highest rating (AV), and by Best Lawyers in America. Jeff has served as special assistant disciplinary counsel to the New Mexico Supreme Court, and is a member of the Supreme Court Code of Professional Conduct Committee. For over 25 years Jeff has been a board member of the Anti-Defamation League (3 times serving as president of the New Mexico region), and he is on the board of Albuquerque Film and Music Experience. He is the Executive Producer of a short anti-bullying film, *The Time That Remains*.



Frank N. Chavez

State Bar of New Mexico Appointee

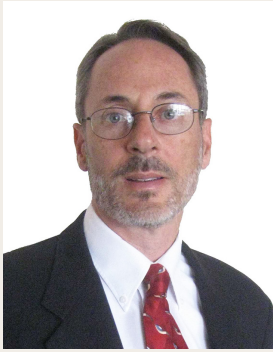
Frank N. Chavez was appointed by the Board of Bar Commissioners for a term which expires on Dec. 31, 2018. He has been a practicing attorney since 1969. He has served as an assistant attorney general, an assistant city attorney, and as the city attorney for Las Cruces. Most of his career was with the ReevesChavez law firm, which was a full service Las Cruces law firm representing governmental and corporate clients. He has served on numerous boards and committees of the New Mexico State Bar and of the State Supreme Court.



Ernestina R. Cruz

State Bar of New Mexico Secretary-Treasurer 2018

Ernestina R. Cruz is a solo practitioner and the owner of Cruz Law Office in Taos. Her practice is primarily focused in the areas of civil rights, employment law, and personal injury. She is a graduate of the University of New Mexico (B.A. 1996 and J.D. 2001) and the University of Notre Dame (M.A. 1998). In addition to her law practice, she is currently attending the Straus Institute for Dispute Resolution at Pepperdine University School of Law where she is completing coursework to obtain a LL.M. in Dispute Resolution with a concentration in Mediation. She is a past chair of the State Bar Young Lawyers Division and Employment and Labor Law Section. She currently serves on the Commission on Access to Justice and represents the Fourth Bar Commissioner District.



Jason A. Marks

Supreme Court Appointee

Jason Marks was appointed by the Supreme Court to a three-year term in one of the new positions approved effective for 2013, and was reappointed to the Commissioner for a term ending December 31, 2018. Marks is a sole practitioner in Albuquerque. His practice includes civil litigation, business advisory services, representation of public entities and utility law. Marks was elected and served two terms as a New Mexico Public Regulation Commissioner representing the Albuquerque area in 2005–2012. Prior to becoming an attorney, Marks led a national consulting practice in healthcare finance extending to 20 states and the federal government. Marks served as Commission Vice-Chair in 2014, and Chair for 2015 and 2016.



James T. Reist

Supreme Court Appointee

Jim Reist practices law in the small firm of Smidt, Reist & Keleher, P.C., in Albuquerque, New Mexico. His practice is primarily in the areas of business and commercial law and litigation as well as legal ethics and professional responsibility matters. He was appointed to the Commission beginning January 1, 2016. He also serves as chair of the Ethics Advisory Committee of the State Bar of New Mexico and has been a member of that committee for 20 years. He served as president of the Albuquerque Bar Association in 2015, and was a member of its board of directors from 2009 through 2016.



Travis R. Steele

State Bar of New Mexico Appointee

Travis Steele was appointed by the BBC to one of the new positions approved by the Supreme Court effective Jan. 1, 2013. Steele practices primarily in the areas of commercial litigation, Indian gaming, oil and gas, and real estate litigation. He has conducted numerous hearings and trials in New Mexico state, federal and tribal courts. Steele regularly prosecutes and defends commercial lawsuits on behalf of his corporate clients, including contract disputes, lien issues, copyright claims, allegations of unfair business practices and any other issue that surfaces when business dealings fall apart. Steele regularly advises clients on licensure, regulatory and litigation matters related to Indian gaming and has substantial experience in sovereign immunity and tribal exhaustion cases. In addition, Steele has experience responding to federal investigations, including False Claims Act investigations by the Office of the Inspector General. Steele regularly represents construction and real estate clients in breach of contract matters, lien disputes and construction defect litigation. In his creditors' rights practice, Steele represents several financial institutions in the recovery of secured collateral, garnishments and loan default matters. In addition, he represents clients in commercial foreclosure cases and has represented landlords and tenants in commercial and residential lease disputes. Steele served as Commission treasurer in 2014. His current term ends Dec. 31, 2018.

EX OFFICIO MEMBERS



Richard B. Spinello

Appointed by Position, Executive Director, State Bar of New Mexico

Richard Spinello was named executive director of the State Bar of New Mexico and the New Mexico State Bar Foundation in December 2017. He has overall responsibility for the day-to-day operations of the State Bar and the State Bar Foundation with a combined budget of \$4.4 million. He coordinates State Bar operations with the New Mexico Supreme Court and reports to the 22 member Board of Bar Commissioners, and leads a State Bar and Bar Foundation staff of more than 34 employees. Richard is a licensed attorney and has held multiple leadership roles during his 19-year career with the State Bar including general counsel, public and legal services director and managing staff attorney for the Legal Resources for the Elderly Program. Richard attended the University of New Mexico (B.A.,

1989) and Western Michigan University, Thomas M. Cooley Law School (J.D., 1997). He was admitted to the State Bar in 1997.



Stormy K. Ralstin

Administrator and Staff Counsel, General Counsel, State Bar of New Mexico

Stormy Ralstin joined the State Bar of New Mexico in 2012 as the managing attorney for the Legal Resources for the Elderly Program. Ms. Ralstin was made the Director of Legal Services for the State Bar and Bar Foundation in 2014. In January 2018, Ms. Ralstin was named General Counsel for the State Bar, overseeing the policy and regulatory function of the State Bar and Bar Foundation. Ms. Ralstin is a graduate of the University of New Mexico School of Law.

2018 COMMISSION LIAISONS



Anne L. Taylor

Disciplinary Board Liaison

Anne L. Taylor is an Assistant Disciplinary Counsel for the Office of Disciplinary Counsel of the New Mexico Supreme Court Disciplinary Board where she has worked since January, 2002. Anne has a J.D. from Vanderbilt University School of Law in Nashville, Tennessee. She has argued numerous disciplinary cases before the New Mexico Supreme Court and serves as the liaison between the Disciplinary Board and the Client Protection Fund. In addition, Anne is responsible for overseeing the Disciplinary Board's IOLTA. When she's not lawyering, Anne is also a level 4/5 gymnastics judge.



2018 Commission and Liaisons

2018 Client Protection Fund Commission

Andrew Cloutier, Chair	Reappointed January 1, 2017, 3-year term expires 12/31/2019
Matthew Sanchez, Treasurer	Reappointed January 1, 2018, 3-year term expires 12/31/2020
Leigh Anne Chavez, Secretary	Reappointed January 1, 2018, 3-year term expires 12/31/2020
Jeffrey L. Baker	Appointed January 1, 2017, 3-year term expires 12/31/2019
Frank Chavez	Reappointed January 1, 2016, 3-year term expires 12/31/2018
Ernestina R. Cruz	Appointed January 1, 2018, term expires 12/31/2018
Jason Marks	Reappointed January 1, 2016, 3-year term expires 12/31/2018
James T. Reist	Appointed January 1, 2016, 3-year term expires 12/31/2018
Travis R. Steele	Reappointed January 1, 2016, 3-year term expires 12/31/2018
Richard Spinello	Ex officio, non-voting, Executive Director, State Bar of New Mexico
Stormy K. Ralstin	Ex officio, non-voting, Administrator and Staff Counsel General Counsel, State Bar of New Mexico

2018 Client Protection Fund Liaisons

Anne L. Taylor	Disciplinary Board Liaison
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Former Commissioners and Administrators

Briggs Cheney	Appointed 2006 (founding commissioner) Reappointed 2008-2010, 2011-2014
Stuart Shanor	Appointed 2006 (founding commissioner) Reappointed 2008-2010, 2011-2014
Henry A. (Hank) Kelly	Appointed 2006 (founding commissioner) Reappointed 2007-2009, 2010-2012
Gaelle D. McConnell	Appointed 2006 (founding commissioner) Reappointed 2008-2010, 2011-2013
Daniel J. O'Brien	Appointed 2006 (founding commissioner) Reappointed 2008-2010, 2011-2013
Charles J. Vigil	Appointed 2006 (founding commissioner) Reappointed 2008-2010, 2011-2013, 2014-2015
Robert Valdez	Appointed 2014-2016
Gerald Dixon (BBC Sect'y-Treas.)	2-year term expired 12/31/2017
Dustin Hunter (BBC Vice President)	1 year term expired early upon appointment to judicial position effective 06/30/2016
Scotty Holloman (BBC V.P.)	1-year term expired 12/31/2015
J. Brent Moore (BBC V.P.)	1-year term expired 12/31/2014
Martha Chicoski (BBC V.P.)	1 year term expired 12/31/2013
Erika Anderson (BBC V.P.)	1 year term expired 12/31/2012
Andrew J. Cloutier (BBC V.P.)	1-year term expired 12/31/2011
Andrew J. Cloutier (BBC Treasurer)	1-year term expired 12/31/2010
Hans Voss (BBC Treasurer)	1-year term expired 12/31/2009
Jessica Pérez (BBC Treasurer)	1-year term expired 12/31/2008
Stephen S. Shanor (BBC Treasurer)	1-year term expired 12/31/2007
Henry A. Alaniz (BBC Treasurer)	1-year term expired 12/31/2006
Christine Joseph (Staff Administrator)	Ex officio 2009-2010
Richard Spinello (Staff Administrator)	Ex officio 2007-2008
Tonya Herring (Staff Administrator)	Ex officio 2006-2007
Charles Noland (Staff Administrator)	Ex officio 2011-2013

2018 Financial Information

Balance Sheet (Pre-Audit)

As of December 31, 2018

Assets	
Cash and Equivalents	\$334,663.10
Certificates of Deposit	\$502,728.20
Due from State Bar	\$29,959.49
Prepaid Insurance	\$136.01
Total Assets	\$867,486.80
Liabilities and Net Assets	
Liabilities	
Accounts Payable	\$0.00
Deferred Revenues	\$21,120.00
Approved claims pending	\$1,883.00
Total Liabilities	\$23,003.00
Net Assets	
Fund Balance	\$664,349.87
Restricted Funds – Lawyer Succession & Transition Committee	\$7,733.17
Current Year Decrease in Net Assets	\$172,400.76
Total Net Assets	\$844,483.80
Total Liabilities and Net Assets	\$867,486.80

Statement of Revenues and Expenditures (Pre-Audit)

For Year Ending December 31, 2018

	Actual	Budget	Variance
Revenue			
CPF Assessments	\$108,045.00	\$105,000.00	\$3,045.00
CPF Assessments (PHV)	\$7,470.00	\$6,500.00	\$970.00
Interest Earned	\$1,701.06	\$1,000.00	\$701.06
Contributions	\$6,139.96	\$0.00	\$6,139.96
Restitution	\$795.00	\$1,000.00	(\$205.00)
MCLE Excess Funds	\$100,000.00	\$0.00	\$100,000.00
Total Revenue	\$224,151.02	\$113,500.00	\$110,651.02
Expenditures			
Audit	\$1,200.00	\$1,200.00	\$0.00
Dues & Subscriptions	\$225.00	\$250.00	(\$25.00)
Insurance Allocation	\$551.37	\$500.00	\$51.37
Marketing & Advertising	\$513.72	\$1,000.00	(\$486.28)
Meetings and Governance	\$1,016.16	\$1,250.00	(\$233.84)
Mileage Reimbursement	\$1,426.01	\$2,000.00	(\$573.99)
Personnel	\$0	\$500	(\$500)
Postage Expense Allocation	\$0	\$200	(\$200)
Professional Development	\$3,764.24	\$10,000.00	(\$6,235.76)
Total Expenditures	\$8,696.50	\$16,900.00	(\$8,203.50)
Revenue Over Expenditures	\$215,454.52	\$96,600.00	\$118,854.52
Claims Approved	\$43,053.76	\$0.00	\$43,053.76
Increase in Net Assets	\$172,400.76	\$96,600.00	\$75,800.76

Claims and Respondent Lawyers

2018 Claims and Respondent Lawyers

As required by Rule 17A-018, the Commission reports that 11 claims resolved in 2018 resulted in payments to the complaining party as a result of the actions of 5 lawyers. The following table summarizes those payments.

2018 Summary of Claims Approved by Lawyer				
Lawyers	Lawyer's Status as of 12/31/2018	Claims Approved in 2018	Dollars Awarded in 2018	Reason
Carpenter, Joshua	Active	1	\$500	<i>Hardship</i> - No lawyer misconduct or dishonesty determined
Gonzales, R. Nathan	Active	3	\$7,816.50	Unearned fees
Hess, Bryan	Suspended	5	\$14,731.25	Unearned fees
Price, Sabrina	Suspended	1	\$4,816.00	Unearned fees
Venie, David Chipman	Disbarred	1	\$15,190.01	Unearned fees
Claims paid on 5 lawyers	Total approved	11	\$43,053.76	

Annual Statistics 2006-2018

2006-2018 Annual Statistics

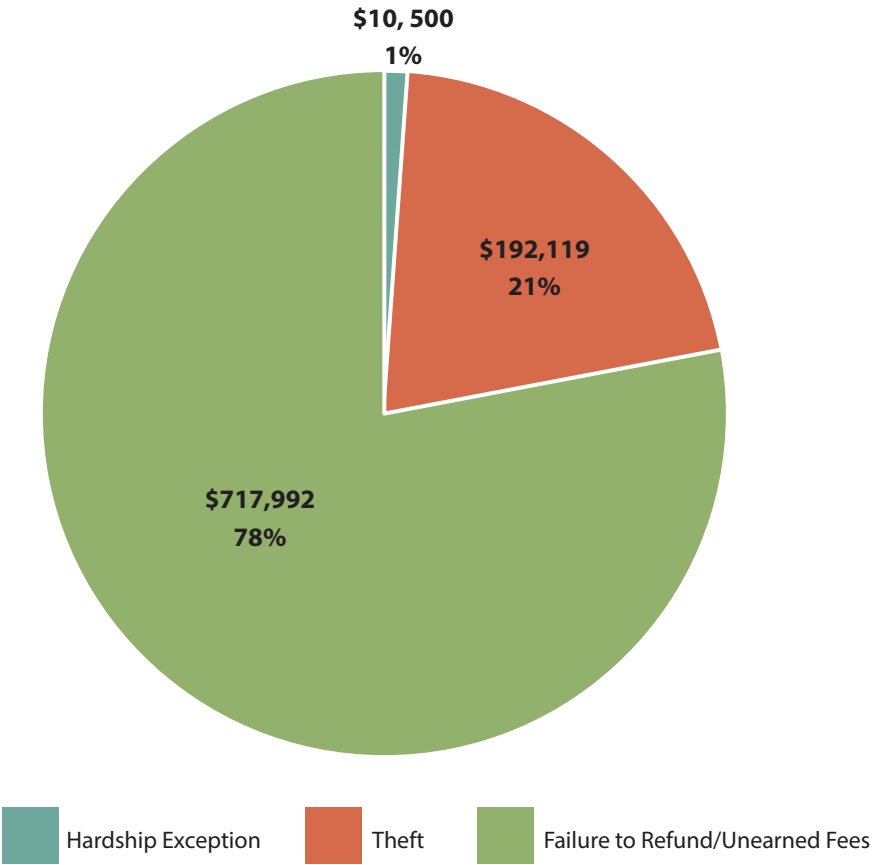
CLAIMS APPROVED	2006-12	2013	2014	2015	2016	2017	2018	TOTALS
Total number of claims approved in whole or in part	142	30	10	25	22	7	11	247
Total dollar amount of claims approved and paid (revised from prior annual reports after reconciliation of cumulative lawyer summary)	\$421,074	\$117,338	\$59,055	\$90,518	\$157,917	\$31,655	\$43,054	\$920,611
CLAIMS DENIED								
Total number of claims denied in whole or part	131	33	16	16	12	20	20	248
Total dollar amount of denials	\$473,935	\$106,162	\$232,303	\$73,568	\$189,602	\$214,704	\$147,363	\$1,437,617
Dollar amount of reimbursement limit per claim	\$10,000	\$20,000	\$20,000	\$20,000	\$50,000	\$50,000	\$50,000	-----
Claims made over limit	16	1	2	1	0	0	0	20
Total amount denied over limit	\$140,638	0	150,000	0	0	0	0	\$290,618
Total amount denied for other reasons	\$333,297	\$106,162	\$82,303	\$73,568	\$189,602	\$214,704	\$147,363	\$1,146,999
CLAIMS PENDING AT END OF YEAR								
Claims undecided and carried over to next year	59	4	6	11	9	14	12	-----
Claim amount pending at end of year	\$292,922	\$32,128	\$43,524	\$59,486	\$56,696	\$60,269	\$102,089	-----
ATTORNEY STATISTICS								
Total number of attorneys with claims filed	91	28	19	26	27	24	20	-----
Highest number of claims filed for a single attorney	23 (2008)	6	5	8	3	3	5	-----
AMOUNT REIMBURSED TO FUND	\$23,518	\$1,071	0	\$13,300	0	\$14,735	\$795	\$53,419

2013–2018 Statistical History

Dollar Amount of Claims Reimbursed by Year 2013-2018



Dollar Amount of Claims Reimbursed by Category





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